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SUMMARY

IT Management: Managed all IT & communications infrastructure, application, payment services (SWIFT, BOJNet) and market data services for the Tokyo branch of Depfa Bank with four vendor staff reporting to me. Managed market data services (Reuters, Bloomberg, etc.) for 450 staff members at Citibank Japan. Managed all infrastructure and market data for the Tokyo branch of ANZ Bank. Managed two staff at ANZ. Responsible to auditors on all IT matters at ANZ and Depfa.

Business Continuity: Had 15 department managers and 7 branch managers at Depfa Bank reporting to me on their business continuity planning. Developed the global policy, framework, and rules for business continuity management at Depfa. Responsible for off-site Market Data business continuity at Citibank. Wrote and implemented the local BCPs for Depfa's Tokyo & New York branches.

Information Security & IT governance: Developed the global policy, framework, and regulations for information security at Depfa Bank, including managing risk of people's own Excel applications (a.k.a. end-user-computing). Developed on-line security training program for Depfa. Implemented quarterly checking of system accounts against staff members at ANZ, Citibank, and Depfa.

Some other projects: Moved Depfa's SWIFT systems from in-house servers to managed services provider. Upgraded entire network, server, and PC infrastructure twice at Depfa, once for Citibank, and twice at ANZ. Upgraded legacy BOJ systems for Depfa. Planned IT space for Rolex Japan's new building. Reviewed business processes in the sales and service divisions at Rolex Japan. Installed email server for ANZ's Seoul branch.

<i>Organization</i>	<i>Position</i>	<i>Staff</i>	<i>Budget*</i>	<i>Start</i>	<i>End</i>
Private	Representative	n/a	n/a	April 2008	Present
TUV Rheinland	Free agent	n/a	n/a	Apr 2008	Present
Sophia University	Lecturer, IT Management	n/a	n/a	Oct 2008	Sep 2009
Depfa Bank	Associate director	4	150 mio	Dec 2001	Feb 2008
Rolex Japan	Consultant	n/a	n/a	Mar 2001	Sep 2001
Citigroup, Tokyo	Market data manager	1	175 mio	Jun 1999	Feb 2001
ANZ Bank , Tokyo	Assistant manager, IT	2	35 mio	Mar 1995	Jun 1999
Ricoh Company	Technical writer	n/a	n/a	Mar 1991	Feb 1995

**Annual budget in Japanese yen*

Certified Information Systems Security Professional (cissp)
 Education: B.Sc. Math, McGill University, 1988
 Visa: Technical, expires August 2012
 Native English & French; business Japanese

Website: <http://vincent.poirier.com/>
 Blog: <http://vfp15.wordpress.com/>
 Married to Japanese national, one child
 Date of birth: 1 August 1965

DETAILED RÉSUMÉ

Employment History

April 2009 to present Consulting

- **Consulting – Business Continuity & Information Security**
Business continuity planning (BCP) and IT security consulting, freelance and also with TÜV Rheinland, an accreditation organization for established standards and best practices. Past projects have included vetting the Equinix data center in Tokyo for a TÜV client (a European bank), and testing a web application firewall against Payment Card Industry Security industry requirements. We set up the target of assessment, the Wapples web application firewall from Penta Security Systems, in TÜV's laboratories and subjected it to application layer level attacks, and reported our findings to the manufacturer.
- **Consulting representative – Various security technologies**
Consulting on various security systems such as WeCU, an intent detection system, and Soundmasking, an eavesdropping prevention system, and Israel Aerospace, an unmanned air vehicle manufacturer.

August 2009 to May 2010 Consultant, O.B.M. Company

- **Consulting – Wholesale import & export**
Researched and drafted a business plan for a management buyout of O.B.M. Company, a Persian carpet importer.

October 2008 to September 2009 Lecturer, Sophia University, Tokyo

- **Lecturer – IT Management in the Modern Organization**
I gave undergraduates a basic understanding of the role of information technology (IT) within a modern organization with a strong emphasis on security and risk. The lectures focused on how IT provides tools and applications first to help management make decisions and second to help the organization perform its activities. The key IT management concepts include vendor management and conflicts of interests, standards, information security concepts, and change management best practices.

December 2001 – February 2008, Multiple Management Positions, Depfa Bank plc

April 2007 to February 2008

- **Assistant Director - Information Security Officer (ISO) & Business Continuity Manager; Group Operational Risk Department (Head office, Dublin)**
 - Responsible for Operational Risk with respect to information security and business continuity.
 - Supervised seven branch managers reporting to me on their branches' business continuity plans.
 - Supervised 20 head office managers reporting to me on the business continuity readiness of their departments.
 - Managed vendor relationships with HP Disaster Recovery Services, IBM, Deloitte Touche, Attachmate, etc.
 - Developed an Information Security training manual and program for the bank's worldwide operations; very successful – 300 employees out of 800 took the online training within one month.
 - Member of the Risk Group's Policy Steering Committee where we were responsible for formulating a common policy for the credit, market, and operational risk teams.

December 2001 – March 2007

- **Associate – Local head of IT & Operational Risk function (Tokyo branch – Depfa)**

I was the local head of IT for the Tokyo branch of Depfa; was also the local operational risk function. For IT, he was responsible for the day-to-day smooth operation of systems and infrastructure and had some global responsibilities for application support. I liaised with head office, negotiated with vendors (e.g. Reuters, Bloomberg, Orange, etc.) and also with Japanese regulators on IT matters. I maintained full documentation of bank systems. For operational risk, was responsible for reporting events to the global operational risk function at head office. Locally, was responsible for meeting regulator requirements, preparing the FSA "welcome basket", and for business continuity.

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December 2001 – March 2007 (continued from previous page)

• Associate – Local head of IT & Operational Risk function (Tokyo branch – Depfa)

Achievements – IT

- Managed relationships with Nortel, Orange, KVH (telecoms) Reuters, Bloomberg (Market Data), Ohtsuka Shokai (tech support), Service Bureau (SWIFT provider), Aareon (infrastructure), Gateway Japan (supplier).
- Created & later maintained comprehensive IT infrastructure documentation for the branch. (January 2002)
- Designed and implemented in UC4 the batch run of a series of overnight risk reports for London branch. This allowed Depfa to bring its UC4 system into production within two months of purchase. (October 2006).
- Migrated SWIFT infrastructure to a specialized SWIFT service provider. (September 2006)
- Revised the IT sections of the local handbook for end-user and management regulations. (December 2005)
- Managed transfer of IT resources and infrastructure when Tokyo branch converted from being a branch of the German entity to being a branch of the Irish entity. (December 2004)
- Managed integration of branch away from Aareal infrastructure to Depfa infrastructure, when Depfa and Aareal de-merged. (June 2002)
- Created and maintained the IT welcome basket in readiness of possible FSA inspection. (November 2006)

Achievements – Operational risk

- Created and tested business continuity plan. (May 2005)
- Created and maintained the operational risk welcome basket in readiness of possible FSA inspection. (November 2006)
- Revised local operational risk section of the Tokyo branch handbook. (December 2005)
- Maintained database of op risk event and reported monthly to head office. (August 2005)
- Created Operational Risk section of weekly branch risk report. (July 2005)

July 1999 – October 2001

Private and Agency Consulting

March 2001 – October 2001

• Consultant – Rolex Japan

I was retained for six months to advise Rolex Japan on how to develop IT within their organization. I reviewed their operations and their administration as well as their core activities of marketing and maintaining high-performance luxury mechanical watches; then identified how best IT practices could be applied to their organization in order to modernize operations.

Achievements

- Reported to management on the state of IT within the after-sales service division and on how IT could be expanded to improve these operations.
 - Surveyed the operations of the after-sales service division of Rolex Japan.
 - Recommended an IT strategy with an eye to improving the administrative operations related to Rolex's watch repair activities without compromising the superlatively high quality of the craftsmanship.
- Expanded activities to cover the sales division and produced a separate report analyzing their operations and advising on an IT strategy for that division.
- Reviewed plans for Rolex Japan's new office building and had IT infrastructure features added.
- As a result of the surveys, Rolex Japan expanded their network infrastructure and adopted an enterprise management system.

July 1999 – March 2001

- **Consultant – Market data support specialist for CITIBANK N. A. Global Markets (Tokyo branch)**
Outsourced to Citibank from Eire Systems K.K.

I managed the market data infrastructure and vendor relationships for Citibank Japan's Global Markets division where I was responsible for the day-to-day operations of Reuters, Bloomberg, EBS, Cantor-Fitzgerald and other market data and brokerage systems.

I negotiated on Citibank's behalf with all market data vendors in the Japan market. I was in charge of internal allocation of all MD costs. I also maintained the market data infrastructure at the disaster recovery site.

Achievements

- Planned and implemented the market data infrastructure for 80 positions in Global Markets when the department moved to a new location across town. (February ~ November 2000)
- Planned and implemented a Reuters Dealing recording tool which captured printed conversation and published them to a web page. (October 2000)
- Conducted all pre-Y2K and post-Y2K testing for all market data systems and brokerage systems. (October 1999~Jan 2000)
- Expanded activities to offer market data services to other divisions within Citigroup Japan. (January 2000)
- Built and tested the market data infrastructure for Citibank Global Markets' disaster recovery site. (November 1999)
- Developed tools in Perl and Excel to automate the monthly internal cost allocation process. (June ~ August 1999)

March 1995 – June 1999: ANZ Bank, Tokyo Branch

March 1998 – June 1999, Assistant manager – Systems

July 1997 – March 1998, Senior supervisor – Systems

March 1995 – July 1997, LAN Supervisor – Systems

I was responsible for the operation of all systems, including Tokyo and Osaka networks and file & print servers, the local AS/400 system, the Bank of Japan's clearing system, WAN links to Melbourne head office, and the installation and setup of all hardware and software. I maintained the PC and software inventory, user access requests and reviews. Liaised with head office on all IT matters. I was the point of contact for all local IT vendors. I trained all staff members in using Windows and Office. I had one staff reporting to me.

Achievements

- Implemented AS/400 migration from Tokyo to Melbourne. (December 1998)
- Migrated the Novell file and directory services to a Windows domain architecture. (September 1998)
- Designed and implemented (in Perl and Access) an automated user access review systems. (August 1997)
- Implemented StorQM reports archiving system. (January 1997)
- Implemented email for ANZ's branch in Seoul, South Korea and reviewed their network setup. (November 1996)
- Wrote and maintained the SWIFT Operations & General Ledger Procedures Manual. (March 1996)
- Implemented the Tokyo and Osaka branch networks. (March ~ September 1995)
- Wrote and gave MS Windows and Office training to all ANZ Japanese staff members. (March ~ August 1995)

March 1991 – February 1995: **Ricoh Company Ltd.**

March 1994 – February 1995, Technical writer for the Customer documentation division

March 1991 – February 1994, Technical writer for the International service division

Outsourced to Ricoh from ICCS K.K.

I worked in the documentation section of Ricoh's international service division. This section produced user instructions, technician manuals, parts catalogs, and trainer instructions for all internationally distributed models of Ricoh fax machines and photocopiers. They also answered all technical problems submitted by branch offices to head office.

Achievements

- Wrote and produced the user manual for Ricoh's ultrawide-format roll copier. (December 1994)
- Created a True Type font to mimic the LCD screens of Ricoh faxes. (January 1994)
- Developed the Instructor's Manual template. (August 1993)
- Coined the term "tetradrive" to label the core mechanism of Ricoh's first full-color copier. (June 1993)

Personal Data

Full name: Vincent François Poirier
Date of birth: 1st August 1965
Marital status: Married (to Japanese national)
University: 1984-1988: McGill University, B.Sc. Mathematics
Prof. credentials: CISSP (Certified Information Systems Security Professional) since April 2007
AMBCI (Associate Member of the Business Continuity Institute, 2009/01~01/2010)
Languages: Native French & English, intermediate Japanese (business functional)
email: vincent@poirier.com
Affiliations: Associate member of the Foreign Correspondents' Club of Japan (FCCJ)

Education

- **AMBCI exam (BCI, passed January 2009)**
Associate Member Business Continuity Institute, <http://www.thebci.org/>
- **Derivatives Trading, Hedging & Volatility (Wilmott Seminars, December 2007)**
Lecturers: Paul Wilmott, Nassim Taleb, <http://www.wilmott.com/>
- **Operational Risk Seminar (Irish Banking Federation, November 2007)**
Various industry participants
- **CISSP ((ISC)², March 2007)**
Certified Information Systems Security Professional accreditation from the International Information Systems Security Certification Consortium
- **Operational Risk Seminar (Euromoney Training, December 2006)**
Lecturer: Kevin Merry, <http://www.euromoneytraining.co.uk/>
- **UC4 administration and implementation course (software technical training, April 2006)**
UC4 is a multiplatform job control system, <http://www.uc4.com/>
- **CFA preparatory course – Level I (Summer, fall 2003)**
Office study group, twice weekly
- **Introduction to Capital Markets (International Bankers Association of Japan, November 2000)**
Lecturer: Patricia V. Linton, <http://www.pvlinton.com/>
- **Reuters Training – Supporting the Triarch platform (September 1999)**
Training at Reuters regional data centre in Singapore
- **GMAT (December 1997)**
Verbal: 99th percentile, Math: 99th percentile, Essay: 80th percentile
- **Trainer: How to use Netware, MS Windows, & MS Office (April~June 1995)**
Trained all staff at ANZ's Tokyo and Osaka branches
- **Actuarial exams I & II (June 1990)**
Calculus & Probability/Statistics